



**GOVERNING BODY**

|                        |                      |
|------------------------|----------------------|
| <b>Agenda Item No.</b> | <b>10</b>            |
| <b>Reference No.</b>   | <b>IESCCG 19-16</b>  |
| <b>Date.</b>           | <b>26 March 2019</b> |

|                           |   |
|---------------------------|---|
| <b>Title</b>              | <b>2019/20 Operational Plan</b>   |
| <b>Lead Chief Officer</b> | Jane Payling, Chief Finance Officer   |
| <b>Author(s)</b>          | Simon Aldridge, Head of PMO<br>Alex Briggs, Head of Corporate Intelligence<br>Ameeta Bhagwat, Head of Planning and Financial Management<br>Rachel Marchment, Information and Analysis Manager |
| <b>Purpose</b>            | To set out, for approval, the current activity plan and performance trajectories and the financial plan.  |

| <b>Applicable CCG Clinical Priorities:</b> |   |          |
|--|---|----------|
| <b>1.</b>                                  | To promote self care  | <b>x</b> |
| <b>2.</b>                                  | To ensure high quality local services where possible                                | <b>x</b> |
| <b>3.</b>                                  | To improve the health of those most in need   | <b>x</b> |
| <b>4.</b>                                  | To improve health & educational attainment for children & young people              | <b>x</b> |
| <b>5.</b>                                  | To improve access to mental health services   | <b>x</b> |
| <b>6.</b>                                  | To improve outcomes for patients with diabetes to above national averages           | <b>x</b> |
| <b>7.</b>                                  | To improve care for frail elderly individuals                                       | <b>x</b> |
| <b>8.</b>                                  | To allow patients to die with dignity & compassion & to choose their place of death | <b>x</b> |
| <b>9.</b>                                  | To ensure that the CCG operates within agreed budgets                               | <b>x</b> |

|   |
|---|
| <p><b>Action required by the Governing Body:</b></p> <p>The Governing Body is requested to:</p> <ul style="list-style-type: none"> <li>• note and approve the current activity plan and performance trajectories;</li> <li>• note and approve the CCG financial plan 2019/20; and</li> <li>• delegate final sign off to the Financial Performance Committee for any further significant changes.</li> </ul> |
|---|

## 1. Background

- 1.1 This is an important year in the local NHS, as we continue to see the move towards system working in the Suffolk and North East Essex Integrated Care System. 2019/20 represents the first year of system wide planning which pulls together the individual organisational plans for our constituent provider and commissioning organisations as we work together to deliver the NHS 10 year plan.
- 1.2 To support delivery of the 10 year plan, operational planning guidance and CCG allocations were issued in January 2019. Five year financial allocations were issued, with this longer term planning horizon helping to enable longer term planning.
- 1.3 The guidance sets out a clear timetable for the development and submission of plans for individual statutory organisations, which will be combined into an ICS plan in the Summer.
- 1.4 This paper presents to the Governing Body two of the technical elements of the CCG's operational plan: activity and performance trajectories (appendix A) and the financial plan (appendix B).

## 2. Key Issues

### Activity Plan and Performance Trajectories

- 2.1 The activity plan and performance trajectories (Appendix A) have been jointly developed by officers within the CCG's finance, transformation and contracts directorates to comply with national guidance and align with local provider plans. The initial submission of plans was approved by NHS England's local team in February 2019.
- 2.2 Activity plans are summarised below:

| Code    | Activity Line                                       | CCG Adjusted 18/19 FOT | 19/20 Annual Plan | Forecast Growth from CCG Adjusted 18/19 FOT to 19/20 Plan (Real)* |
|---------|---|------------------------|-------------------|---|
| E.M.7   | Total Referrals (General and Acute)                 | 160,757                | 167,027           | 3.9%  |
| E.M.7a  | Total GP Referrals (General and Acute)              | 93,068                 | 96,698            | 3.9%  |
| E.M.7b  | Total Other Referrals (General and Acute)           | 67,689                 | 70,329            | 3.9%  |
| E.M.8+9 | Total Consultant Led Outpatient Attendances         | 457,730                | 475,581           | 3.9%  |
| E.M.8   | Consultant Led First Outpatient Attendances         | 144,266                | 149,892           | 3.9%  |
| E.M.9   | Consultant Led Follow-Up Outpatient Attendances     | 313,464                | 325,689           | 3.9%  |
| E.M.21  | Consultant Led Outpatient Procedures                | 98,041                 | 101,865           | 3.9%  |
| E.M.10  | Total Elective Admissions                           | 55,693                 | 58,753            | 5.5%  |
| E.M.10a | Total Elective Admissions - Day Cases               | 48,839                 | 51,755            | 6.0%  |
| E.M.10b | Total Elective Admissions - Ordinary                | 6,854                  | 6,998             | 2.1%  |
| E.M.11  | Total Non-Elective Admissions                       | 40,570                 | 41,853            | 3.2%  |
| E.M.11a | Total Non-Elective Admissions - 0 LoS               | 9,665                  | 9,897             | 2.4%  |
| E.M.11b | Total Non-Elective Admissions - +1 LoS              | 30,905                 | 31,956            | 3.4%  |
| E.M.12  | Total A&E Attendances excluding Planned Follow Ups  | 102,678                | 100,848           | 2.3%  |
| E.M.12a | Type 1 A&E Attendances excluding Planned Follow Ups | 94,704                 | 97,446            | 2.3%  |
| E.M.12b | Other A&E Attendances excluding Planned Follow Ups  | 7,974                  | 3,402             | 2.3%  |
| E.M.18  | Number of Completed Admitted RTT Pathways           | 20,997                 | 23,328            |   |
| E.M.19  | Number of Completed Non-Admitted RTT Pathways       | 84,124                 | 87,057            |   |
| E.M.20  | Number of New RTT Pathways (Clockstarts)            | 117,286                | 120,687           |   |

\* Real forecast growth excludes activity related to counting and coding changes which do not represent actual activity during the year

2.3 Activity growth follows the National Indicative Hospital Activity Model (IHAMs) and has been triangulated with local provider activity plans, to ensure alignment across the ICS. Daycase growth of 6% includes non-recurrent activity to clear waiting list backlogs. Please note this is a draft plan which is being finalised with ESNEFT and that the additional activity is likely to be split across outpatients and daycase areas in the final plan.

2.4 Performance trajectories have been developed for the following areas:

- **NHS Constitution targets:** Referral to Treatment (RTT), Diagnostics and Cancer Waiting Times;
- **Mental Health targets:** Dementia diagnosis, Improving Access to Psychological Therapies (IAPT), Early Intervention in Psychosis (EIP), Children & Young People's Mental Health, Eating Disorders, SMI Health checks in Primary Care;
- **Primary Care targets:** Extended Access Appointment Utilisation, Access to Online Consultations, Primary Care workforce plan, 111 direct booking to extended access;
- **Other commitments:** Personal Health Budgets, Children's wheelchair services, Annual Health Checks for those with Learning Disabilities;
- **IAPT workforce:** Plans for IAPT trainees and IAPT therapists to be co-located in primary care are still to be completed but will be finalised in time to be submitted on 4<sup>th</sup> April.

2.5 Performance trajectories follow national guidance although, in some cases (e.g. RTT) will not immediately deliver the constitutional standard due to current levels of performance

2.6 In accordance with national guidance, final plans will need to be approved by the CCG's Governing Body prior to the national deadline of 4th April 2019. It is proposed that any minor changes (low in financial value or reclassification between categories) are approved by Chief Officers, with approval for any significant changes being delegated to the financial performance committee.

### 3. **Public Engagement**

3.1 Our approach to involving the public with the Operational Plan is one of continuous feedback on our work, and requests for specific support from the public, patients, carers and voluntary sector in certain elements. People have told us that they want to see how they can make a difference. For example, for this plan we have worked with individuals on plans for Annual Health Checks and Children and Young People's Mental and Emotional Wellbeing. In the future, we will need to ensure that we measure and report on patient feedback and planning across the Alliance.

### 4. **Recommendation**

4.1 The Governing Body is requested to:

- note and approve the current activity plan and performance trajectories (appendix A);
- note and approve the financial plan for 2019/20 (appendix B); and to
- delegate authority to approve any minor changes to Chief Officers and any significant changes to the Financial Performance Committee.



|                                    |              | E.B.6               | April | May   | June  | July  | August | September | October | November | December | January | February | March |
|------------------------------------|--------------|---------------------|-------|-------|-------|-------|--------|-----------|---------|----------|----------|---------|----------|-------|
| Cancer Waiting Times - 2 Week Wait | 2017/18      | Number Seen < 2 Wks | 962   | 1,071 | 1,193 | 1,231 | 1,104  | 1,086     | 1,167   | 1,102    | 865      | 989     | 977      | 1,112 |
|                                    |              | Total Number Seen   | 1,016 | 1,113 | 1,273 | 1,297 | 1,160  | 1,168     | 1,240   | 1,142    | 913      | 1,065   | 1,019    | 1,171 |
|                                    |              | %                   | 94.7% | 96.2% | 93.7% | 94.9% | 95.2%  | 93.0%     | 94.1%   | 96.5%    | 94.7%    | 92.9%   | 95.9%    | 95.0% |
|                                    | 2018/19      | Number Seen < 2 Wks | 1,113 | 1,212 | 1,116 | 1,197 | 1,217  | 1,087     | 1,323   | 1,247    | 1,136    | -       | -        | -     |
|                                    |              | Total Number Seen   | 1,190 | 1,288 | 1,194 | 1,277 | 1,307  | 1,184     | 1,426   | 1,366    | 1,199    | -       | -        | -     |
|                                    |              | %                   | 93.5% | 94.1% | 93.5% | 93.7% | 93.1%  | 91.8%     | 92.8%   | 91.3%    | 94.7%    | 0.0%    | 0.0%     | 0.0%  |
|                                    | 2019/20 Plan | Number Seen < 2 Wks | 1,196 | 1,295 | 1,200 | 1,284 | 1,314  | 1,190     | 1,434   | 1,373    | 992      | 1,157   | 1,107    | 1,272 |
|                                    |              | Total Number Seen   | 1,286 | 1,392 | 1,290 | 1,380 | 1,412  | 1,279     | 1,541   | 1,476    | 1,066    | 1,243   | 1,190    | 1,367 |
|                                    |              | %                   | 93.0% | 93.0% | 93.0% | 93.0% | 93.1%  | 93.0%     | 93.1%   | 93.0%    | 93.1%    | 93.1%   | 93.0%    | 93.1% |

|  |              | E.B.7               | April | May   | June  | July  | August | September | October | November | December | January | February | March |
|--|--------------|---------------------|-------|-------|-------|-------|--------|-----------|---------|----------|----------|---------|----------|-------|
| Cancer Waiting Times - 2 Week Wait (Breast Symptoms) | 2017/18      | Number Seen < 2 Wks | 74    | 64    | 102   | 85    | 140    | 144       | 152     | 150      | 165      | 162     | 174      | 199   |
|  |              | Total Number Seen   | 82    | 97    | 122   | 89    | 152    | 149       | 157     | 162      | 190      | 193     | 180      | 214   |
|  |              | %                   | 90.2% | 66.0% | 83.6% | 95.5% | 92.1%  | 96.6%     | 96.8%   | 92.6%    | 86.8%    | 83.9%   | 96.7%    | 93.0% |
|  | 2018/19      | Number Seen < 2 Wks | 161   | 187   | 152   | 148   | 156    | 130       | 130     | 143      | 119      | -       | -        | -     |
|  |              | Total Number Seen   | 171   | 205   | 166   | 167   | 158    | 131       | 131     | 153      | 135      | -       | -        | -     |
|  |              | %                   | 94.2% | 91.2% | 91.6% | 88.6% | 98.7%  | 99.2%     | 99.2%   | 93.5%    | 88.1%    | 0.0%    | 0.0%     | 0.0%  |
|  | 2019/20 Plan | Number Seen < 2 Wks | 193   | 231   | 187   | 188   | 179    | 148       | 148     | 173      | 260      | 265     | 246      | 293   |
|  |              | Total Number Seen   | 207   | 248   | 201   | 202   | 192    | 159       | 159     | 185      | 279      | 284     | 264      | 314   |
|  |              | %                   | 93.2% | 93.1% | 93.0% | 93.1% | 93.2%  | 93.1%     | 93.1%   | 93.5%    | 93.2%    | 93.3%   | 93.2%    | 93.3% |

|   |              | E.B.8                    | April | May   | June  | July  | August | September | October | November | December | January | February | March |
|---|--------------|--------------------------|-------|-------|-------|-------|--------|-----------|---------|----------|----------|---------|----------|-------|
| Cancer Waiting Times - 31 Day First Treatment | 2017/18      | Number Treated < 31 Days | 178   | 199   | 208   | 181   | 217    | 191       | 223     | 217      | 183      | 188     | 154      | 166   |
|   |              | Total Number Seen        | 187   | 208   | 214   | 184   | 225    | 201       | 229     | 222      | 191      | 196     | 161      | 173   |
|   |              | %                        | 95.2% | 95.7% | 97.2% | 98.4% | 96.4%  | 95.0%     | 97.4%   | 97.7%    | 95.8%    | 95.9%   | 95.7%    | 96.0% |
|   | 2018/19      | Number Treated < 31 Days | 209   | 214   | 225   | 227   | 197    | 192       | 227     | 229      | 177      | -       | -        | -     |
|   |              | Total Number Seen        | 218   | 215   | 232   | 233   | 207    | 200       | 238     | 241      | 182      | -       | -        | -     |
|   |              | %                        | 95.9% | 99.5% | 97.0% | 97.4% | 95.2%  | 96.0%     | 95.4%   | 95.0%    | 97.3%    | 0.0%    | 0.0%     | 0.0%  |
|   | 2019/20 Plan | Number Treated < 31 Days | 223   | 220   | 238   | 239   | 212    | 205       | 243     | 246      | 208      | 214     | 175      | 189   |
|   |              | Total Number Seen        | 232   | 229   | 247   | 248   | 220    | 213       | 253     | 256      | 216      | 222     | 182      | 196   |
|   |              | %                        | 96.1% | 96.1% | 96.4% | 96.4% | 96.4%  | 96.2%     | 96.0%   | 96.1%    | 96.3%    | 96.4%   | 96.2%    | 96.4% |

|                                       |              | E.B.9                    | April | May   | June   | July  | August | September | October | November | December | January | February | March |
|---------------------------------------|--------------|--------------------------|-------|-------|--------|-------|--------|-----------|---------|----------|----------|---------|----------|-------|
| Cancer Waiting Times - 31 Day Surgery | 2017/18      | Number Treated < 31 Days | 32    | 45    | 29     | 34    | 35     | 37        | 50      | 37       | 31       | 41      | 30       | 35    |
|                                       |              | Total Number Seen        | 33    | 47    | 31     | 36    | 37     | 38        | 52      | 40       | 33       | 42      | 34       | 37    |
|                                       |              | %                        | 97.0% | 95.7% | 93.5%  | 94.4% | 94.6%  | 97.4%     | 96.2%   | 92.5%    | 93.9%    | 97.6%   | 88.2%    | 94.6% |
|                                       | 2018/19      | Number Treated < 31 Days | 35    | 41    | 46     | 37    | 34     | 33        | 48      | 42       | 38       | -       | -        | -     |
|                                       |              | Total Number Seen        | 40    | 43    | 46     | 38    | 38     | 39        | 56      | 46       | 45       | -       | -        | -     |
|                                       |              | %                        | 87.5% | 95.3% | 100.0% | 97.4% | 89.5%  | 84.6%     | 85.7%   | 91.3%    | 84.4%    | 0.0%    | 0.0%     | 0.0%  |
|                                       | 2019/20 Plan | Number Treated < 31 Days | 42    | 45    | 47     | 40    | 40     | 41        | 58      | 47       | 37       | 47      | 39       | 42    |
|                                       |              | Total Number Seen        | 44    | 47    | 50     | 42    | 42     | 43        | 61      | 50       | 39       | 50      | 41       | 44    |
|                                       |              | %                        | 95.5% | 95.7% | 94.0%  | 95.2% | 95.2%  | 95.3%     | 95.1%   | 94.0%    | 94.9%    | 94.0%   | 95.1%    | 95.5% |

|                                     |              | E.B.10                   | April  | May    | June   | July   | August | September | October | November | December | January | February | March  |
|-------------------------------------|--------------|--------------------------|--------|--------|--------|--------|--------|-----------|---------|----------|----------|---------|----------|--------|
| Cancer Waiting Times - 31 Day Drugs | 2017/18      | Number Treated < 31 Days | 60     | 57     | 67     | 68     | 60     | 71        | 78      | 86       | 68       | 87      | 89       | 87     |
|                                     |              | Total Number Seen        | 60     | 57     | 67     | 68     | 60     | 71        | 78      | 86       | 68       | 88      | 89       | 87     |
|                                     |              | %                        | 100.0% | 100.0% | 100.0% | 100.0% | 100.0% | 100.0%    | 100.0%  | 100.0%   | 100.0%   | 100.0%  | 98.9%    | 100.0% |
|                                     | 2018/19      | Number Treated < 31 Days | 93     | 91     | 89     | 79     | 84     | 72        | 53      | 75       | 56       | -       | -        | -      |
|                                     |              | Total Number Seen        | 95     | 91     | 90     | 79     | 85     | 72        | 53      | 77       | 58       | -       | -        | -      |
|                                     |              | %                        | 97.9%  | 100.0% | 98.9%  | 100.0% | 98.8%  | 100.0%    | 100.0%  | 97.4%    | 96.6%    | 0.0%    | 0.0%     | 0.0%   |
|                                     | 2019/20 Plan | Number Treated < 31 Days | 107    | 102    | 101    | 90     | 97     | 82        | 60      | 87       | 89       | 114     | 115      | 113    |
|                                     |              | Total Number Seen        | 109    | 104    | 103    | 91     | 98     | 83        | 61      | 88       | 90       | 116     | 117      | 115    |
|                                     |              | %                        | 98.2%  | 98.1%  | 98.1%  | 98.9%  | 99.0%  | 98.8%     | 98.4%   | 98.9%    | 98.9%    | 98.3%   | 98.3%    | 98.3%  |

|  |              | E.B.11                   | April | May    | June  | July  | August | September | October | November | December | January | February | March |
|--|--------------|--------------------------|-------|--------|-------|-------|--------|-----------|---------|----------|----------|---------|----------|-------|
| Cancer Waiting Times - 31 Day Radiotherapy | 2017/18      | Number Treated < 31 Days | 88    | 97     | 96    | 76    | 73     | 60        | 85      | 81       | 83       | 92      | 85       | 76    |
|  |              | Total Number Seen        | 91    | 99     | 98    | 78    | 74     | 63        | 86      | 85       | 85       | 98      | 89       | 77    |
|  |              | %                        | 96.7% | 98.0%  | 98.0% | 97.4% | 98.6%  | 95.2%     | 98.8%   | 95.3%    | 97.6%    | 93.9%   | 95.5%    | 98.7% |
|  | 2018/19      | Number Treated < 31 Days | 88    | 91     | 79    | 90    | 82     | 80        | 101     | 84       | 64       | -       | -        | -     |
|  |              | Total Number Seen        | 96    | 91     | 83    | 92    | 85     | 86        | 108     | 89       | 67       | -       | -        | -     |
|  |              | %                        | 91.7% | 100.0% | 95.2% | 97.8% | 96.5%  | 93.0%     | 93.5%   | 94.4%    | 95.5%    | 0.0%    | 0.0%     | 0.0%  |
|  | 2019/20 Plan | Number Treated < 31 Days | 97    | 93     | 84    | 94    | 87     | 88        | 110     | 91       | 94       | 108     | 97       | 84    |
|  |              | Total Number Seen        | 103   | 98     | 89    | 99    | 92     | 93        | 116     | 96       | 99       | 114     | 103      | 89    |
|  |              | %                        | 94.2% | 94.9%  | 94.4% | 94.9% | 94.6%  | 94.6%     | 94.8%   | 94.8%    | 94.9%    | 94.7%   | 94.2%    | 94.4% |

|   |              | E.B.12                   | April | May   | June  | July  | August | September | October | November | December | January | February | March |
|---|--------------|--------------------------|-------|-------|-------|-------|--------|-----------|---------|----------|----------|---------|----------|-------|
| Cancer Waiting Times - 62 Day GP Referral | 2017/18      | Number Treated < 62 Days | 96    | 88    | 106   | 85    | 116    | 97        | 116     | 127      | 97       | 81      | 68       | 78    |
|   |              | Total Number Seen        | 115   | 117   | 137   | 111   | 138    | 113       | 139     | 146      | 106      | 112     | 89       | 98    |
|   |              | %                        | 83.5% | 75.2% | 77.4% | 76.6% | 84.1%  | 85.8%     | 83.5%   | 87.0%    | 91.5%    | 72.3%   | 76.4%    | 79.6% |
|   | 2018/19      | Number Treated < 62 Days | 107   | 91    | 99    | 93    | 75     | 73        | 87      | 100      | 77       | -       | -        | -     |
|   |              | Total Number Seen        | 132   | 120   | 131   | 132   | 108    | 99        | 130     | 134      | 104      | -       | -        | -     |
|   |              | %                        | 81.1% | 75.8% | 75.6% | 70.5% | 69.4%  | 73.7%     | 66.9%   | 74.6%    | 74.0%    | 0.0%    | 0.0%     | 0.0%  |
|   | 2019/20 Plan | Number Treated < 62 Days | 104   | 108   | 108   | 108   | 85     | 92        | 108     | 120      | 99       | 104     | 85       | 85    |
|   |              | Total Number Seen        | 127   | 127   | 127   | 127   | 100    | 108       | 127     | 141      | 116      | 122     | 100      | 100   |
|   |              | %                        | 81.9% | 85.0% | 85.0% | 85.0% | 85.0%  | 85.2%     | 85.0%   | 85.1%    | 85.3%    | 85.2%   | 85.0%    | 85.0% |

|   |              | E.B.13                   | April  | May   | June   | July   | August | September | October | November | December | January | February | March |
|---|--------------|--------------------------|--------|-------|--------|--------|--------|-----------|---------|----------|----------|---------|----------|-------|
| Cancer Waiting Times - 62 Day Screening | 2017/18      | Number Treated < 62 Days | 8      | 14    | 10     | 11     | 11     | 6         | 8       | 9        | 11       | 12      | 11       | 7     |
|   |              | Total Number Seen        | 8      | 15    | 10     | 12     | 13     | 8         | 11      | 9        | 11       | 16      | 12       | 9     |
|   |              | %                        | 100.0% | 93.3% | 100.0% | 91.7%  | 84.6%  | 75.0%     | 72.7%   | 100.0%   | 100.0%   | 75.0%   | 91.7%    | 77.8% |
|   | 2018/19      | Number Treated < 62 Days | 7      | 15    | 8      | 10     | 13     | 12        | 19      | 16       | 7        | -       | -        | -     |
|   |              | Total Number Seen        | 8      | 16    | 9      | 10     | 15     | 14        | 21      | 17       | 7        | -       | -        | -     |
|   |              | %                        | 87.5%  | 93.8% | 88.9%  | 100.0% | 86.7%  | 85.7%     | 90.5%   | 94.1%    | 100.0%   | 0.0%    | 0.0%     | 0.0%  |
|   | 2019/20 Plan | Number Treated < 62 Days | 9      | 19    | 10     | 10     | 19     | 19        | 19      | 19       | 19       | 19      | 19       | 10    |
|   |              | Total Number Seen        | 10     | 21    | 11     | 11     | 21     | 21        | 21      | 21       | 21       | 21      | 21       | 11    |
|   |              | %                        | 90.0%  | 90.5% | 90.9%  | 90.9%  | 90.5%  | 90.5%     | 90.5%   | 90.5%    | 90.5%    | 90.5%   | 90.5%    | 90.9% |

|                                       |              | E.B.14                   | April | May   | June  | July  | August | September | October | November | December | January | February | March |
|---------------------------------------|--------------|--------------------------|-------|-------|-------|-------|--------|-----------|---------|----------|----------|---------|----------|-------|
| Cancer Waiting Times - 62 Day Upgrade | 2017/18      | Number Treated < 62 Days | 12    | 14    | 11    | 10    | 11     | 7         | 15      | 13       | 17       | 19      | 14       | 20    |
|                                       |              | Total Number Seen        | 13    | 18    | 12    | 11    | 12     | 9         | 18      | 17       | 17       | 22      | 16       | 23    |
|                                       |              | %                        | 92.3% | 77.8% | 91.7% | 90.9% | 91.7%  | 77.8%     | 83.3%   | 76.5%    | 100.0%   | 86.4%   | 87.5%    | 87.0% |
|                                       | 2018/19      | Number Treated < 62 Days | 21    | 26    | 21    | 17    | 23     | 23        | 20      | 17       | 13       | -       | -        | -     |
|                                       |              | Total Number Seen        | 22    | 28    | 30    | 23    | 32     | 32        | 30      | 22       | 17       | -       | -        | -     |
|                                       |              | %                        | 95.5% | 92.9% | 70.0% | 73.9% | 71.9%  | 71.9%     | 66.7%   | 77.3%    | 76.5%    | 0.0%    | 0.0%     | 0.0%  |
|                                       | 2019/20 Plan | Number Treated < 62 Days | 20    | 27    | 29    | 22    | 30     | 30        | 29      | 21       | 17       | 22      | 17       | 24    |
|                                       |              | Total Number Seen        | 24    | 31    | 33    | 25    | 35     | 35        | 33      | 24       | 21       | 27      | 19       | 28    |
|                                       |              | %                        | 83.3% | 87.1% | 87.9% | 88.0% | 85.7%  | 85.7%     | 87.9%   | 87.5%    | 81.0%    | 81.5%   | 89.5%    | 85.7% |

E.B.5- A and E Wait Times, has been removed.

|          |                                  |                    |
|----------|----------------------------------|--------------------|
| CCG Code | CCG Name                         | Calculated Field   |
| BSL      | MHS Ipswich and East Suffolk CCG | Prepopulated Cell  |
|          |                                  | Enter Data in Cell |

## Planning 2019/20 | Planned Activity

| Code    | Activity Line                                       | CCG Adjusted 18/19 FOT | 2019/20 Activity |        |        |        |        |           |         |          |          |         |          |        |
|---------|---|------------------------|------------------|--------|--------|--------|--------|-----------|---------|----------|----------|---------|----------|--------|
|         |   |                        | April            | May    | June   | July   | August | September | October | November | December | January | February | March  |
| E.M.7   | Total Referrals (General and Acute)                 | 159,257                | 19,361           | 14,154 | 12,815 | 14,789 | 12,315 | 14,883    | 15,531  | 19,492   | 12,488   | 15,829  | 19,354   | 14,896 |
| E.M.7a  | GP Referrals (General and Acute)                    | 39,869                 | 8,286            | 8,154  | 7,488  | 8,634  | 7,479  | 8,168     | 9,148   | 7,891    | 6,638    | 8,472   | 7,759    | 8,537  |
| E.M.7b  | Other Referrals (General and Acute)                 | 67,653                 | 5,875            | 5,388  | 5,327  | 6,145  | 5,436  | 6,715     | 6,391   | 5,661    | 5,558    | 6,551   | 5,595    | 6,299  |
| E.M.8+9 | Total Consultant Led Outpatient Attendances         | 457,238                | 37,847           | 48,397 | 37,377 | 42,486 | 36,323 | 38,298    | 43,868  | 48,587   | 35,344   | 42,689  | 37,322   | 42,145 |
| E.M.8   | Consultant Led First Outpatient Attendances         | 146,265                | 15,341           | 15,818 | 12,258 | 13,673 | 11,536 | 12,277    | 13,794  | 12,633   | 11,834   | 13,198  | 11,657   | 12,343 |
| E.M.9   | Consultant Led Follow-Up Outpatient Attendances     | 319,464                | 25,386           | 27,379 | 25,119 | 28,727 | 25,387 | 26,021    | 29,824  | 27,354   | 24,318   | 29,491  | 25,665   | 29,802 |
| E.M.21  | Total Outpatient Appointments with Procedures       | 39,841                 | 7,348            | 8,855  | 8,281  | 9,512  | 8,858  | 8,348     | 9,384   | 8,628    | 7,478    | 8,855   | 8,846    | 8,688  |
| E.M.10  | Total Elective Admissions                           | 55,639                 | 4,641            | 4,892  | 4,463  | 5,886  | 4,442  | 4,612     | 5,282   | 4,775    | 4,428    | 4,898   | 4,497    | 6,871  |
| E.M.10a | Total Elective Admissions - Day Case                | 48,839                 | 4,879            | 4,277  | 3,851  | 4,492  | 3,874  | 4,843     | 4,529   | 4,285    | 3,851    | 4,388   | 3,899    | 6,253  |
| E.M.10b | Total Elective Admissions - Ordinary                | 6,854                  | 562              | 615    | 578    | 654    | 568    | 569       | 573     | 578      | 537      | 518     | 598      | 618    |
| E.M.11  | Total Non-Elective Admissions                       | 48,578                 | 3,351            | 3,519  | 3,488  | 3,419  | 3,353  | 3,261     | 3,334   | 3,518    | 3,789    | 3,791   | 3,356    | 3,766  |
| E.M.11a | Total Non-Elective Admissions - 0 LoS               | 3,655                  | 884              | 857    | 812    | 788    | 743    | 784       | 753     | 892      | 981      | 875     | 771      | 911    |
| E.M.11b | Total Non-Elective Admissions - +1 LoS              | 39,385                 | 2,547            | 2,662  | 2,676  | 2,631  | 2,610  | 2,477     | 2,581   | 2,626    | 2,808    | 2,916   | 2,585    | 2,855  |
| E.M.12  | Total A&E Attendances excluding Planned Follow Ups  | 182,678                | 8,868            | 8,623  | 8,468  | 8,788  | 8,241  | 8,313     | 8,534   | 8,354    | 8,687    | 8,382   | 7,757    | 8,747  |
| E.M.12a | Type 1 A&E Attendances excluding Planned Follow Ups | 54,784                 | 7,892            | 8,377  | 8,221  | 8,531  | 8,888  | 8,878     | 8,287   | 8,879    | 8,278    | 7,384   | 7,468    | 8,419  |
| E.M.12b | Other A&E Attendances excluding Planned Follow Ups  | 7,574                  | 296              | 252    | 247    | 257    | 241    | 243       | 327     | 321      | 329      | 318     | 297      | 334    |
| E.M.18  | Number of Completed Admitted RTT Pathways           | 28,397                 | 1,723            | 1,883  | 1,779  | 2,889  | 1,733  | 1,742     | 2,879   | 1,747    | 1,646    | 1,562   | 1,648    | 3,789  |
| E.M.19  | Number of Completed Non-Admitted RTT Pathways       | 84,454                 | 6,395            | 7,561  | 7,115  | 7,345  | 6,788  | 7,198     | 7,977   | 7,397    | 6,483    | 7,561   | 6,778    | 7,517  |
| E.M.20  | Number of New RTT Pathways (Clockstarts)            | 117,215                | 3,514            | 18,481 | 3,863  | 11,814 | 3,288  | 3,885     | 11,858  | 18,172   | 8,884    | 18,628  | 3,386    | 18,422 |



| Code    | Activity Line                                       | CCG Adjusted 18/19 FOT | 19/20 Annual Plan | Counting and Coding changes | Forecast Growth from CCG Adjusted 18/19 FOT to 19/20 Plan (Total)* | Forecast Growth from CCG Adjusted 18/19 FOT to 19/20 Plan (Real)** |
|---------|---|------------------------|-------------------|-----------------------------|--|--|
| E.M.7   | Total Referrals (General and Acute)                 | 160,757                | 167,027           | -                           | 3.9%   | 3.9%   |
| E.M.7a  | Total GP Referrals (General and Acute)              | 93,068                 | 96,698            | -                           | 3.9%   | 3.9%   |
| E.M.7b  | Total Other Referrals (General and Acute)           | 67,689                 | 70,329            | -                           | 3.9%   | 3.9%   |
| E.M.8+9 | Total Consultant Led Outpatient Attendances         | 457,730                | 475,581           | -                           | 3.9%   | 3.9%   |
| E.M.8   | Consultant Led First Outpatient Attendances         | 144,266                | 149,892           | -                           | 3.9%   | 3.9%   |
| E.M.9   | Consultant Led Follow-Up Outpatient Attendances     | 313,464                | 325,689           | -                           | 3.9%   | 3.9%   |
| E.M.21  | Consultant Led Outpatient Procedures                | 98,041                 | 101,865           | -                           | 3.9%   | 3.9%   |
| E.M.10  | Total Elective Admissions                           | 55,693                 | 58,753            | -                           | 5.5%   | 5.5%   |
| E.M.10a | Total Elective Admissions - Day Cases               | 48,839                 | 51,755            | -                           | 6.0%   | 6.0%   |
| E.M.10b | Total Elective Admissions - Ordinary                | 6,854                  | 6,998             | -                           | 2.1%   | 2.1%   |
| E.M.11  | Total Non-Elective Admissions                       | 40,570                 | 41,853            | -                           | 3.2%   | 3.2%   |
| E.M.11a | Total Non-Elective Admissions - 0 LoS               | 9,665                  | 9,897             | -                           | 2.4%   | 2.4%   |
| E.M.11b | Total Non-Elective Admissions - +1 LoS              | 30,905                 | 31,956            | -                           | 3.4%   | 3.4%   |
| E.M.12  | Total A&E Attendances excluding Planned Follow Ups  | 102,678                | 100,848           | 4,191                       | -1.8%  | 2.3%   |
| E.M.12a | Type 1 A&E Attendances excluding Planned Follow Ups | 94,704                 | 97,446            | 564                         | 2.9%   | 2.3%   |
| E.M.12b | Other A&E Attendances excluding Planned Follow Ups  | 7,974                  | 3,402             | 4,755                       | -57.3%   | 2.3%   |
| E.M.18  | Number of Completed Admitted RTT Pathways           | 20,997                 | 23,328            | NA                          | 11.1%  |  |
| E.M.19  | Number of Completed Non-Admitted RTT Pathways       | 84,124                 | 87,057            | NA                          | 3.5%   |  |
| E.M.20  | Number of New RTT Pathways (Clockstarts)            | 117,286                | 120,687           | NA                          | 2.9%   |  |

\* For the 18/19 FOT to 19/20 Plan growth percentages, the FOT that is used to calculate growth is the CCG adjusted FOT which takes into account the FOT difference column of the activity waterfall (e.g. NHSE supplied FOT + FOT difference in the Activity Waterfall). The growth percentage is therefore calculated using the following formula: Forecast growth from 18/19 FOT to 19/20 plan =  $(19/20 \text{ Plan} - (\text{NHSE FOT} + \text{CCG entered FOT Difference})) / (\text{NHSE FOT} + \text{CCG entered FOT Difference})$ . This does not control for activity attributed to counting and coding changes.

\*\* Real forecast growth excludes activity related to counting and coding changes which do not represent actual activity during the year.

| CCG Code | CCG Name                         |
|----------|----------------------------------|
| 06L      | NHS Ipswich and East Suffolk CCG |

|                    |
|--------------------|
| Calculated Field   |
| Prepopulated Cell  |
| Enter Data in Cell |

## Planning 2019/20 Mental Health

| Standard  | 66.7%        | E.A.S.1  | April        | May          | June         | July         | August       | September    | October      | November     | December     | January      | February     | March        |
|---|--------------|--|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|
| Dementia - Estimated Diagnosis Rate for people aged 65+ | 2017/18      | Number of people aged 65 or over diagnosed with dementia           | 3,724        | 3,754        | 3,780        | 3,779        | 3,759        | 3,784        | 3,791        | 3,823        | 3,807        | 3,800        | 3,813        | 3,809        |
|   |              | Estimated prevalence of dementia based on GP registered population | 5,614        | 5,630        | 5,637        | 5,655        | 5,666        | 5,682        | 5,682        | 5,706        | 5,711        | 5,703        | 5,692        | 5,692        |
|   |              | %  | <b>66.3%</b> | <b>66.7%</b> | <b>67.1%</b> | <b>66.8%</b> | <b>66.3%</b> | <b>66.6%</b> | <b>66.7%</b> | <b>67.0%</b> | <b>66.7%</b> | <b>66.6%</b> | <b>67.0%</b> | <b>66.9%</b> |
|   | 2018/19      | Number of people aged 65 or over diagnosed with dementia           | 3,835        | 3,884        | 3,897        | 3,924        | 3,945        | 3,981        | 3,962        | 3,990        | 3,970        | 3,958        | -            | -            |
|   |              | Estimated prevalence of dementia based on GP registered population | 5,714        | 5,733        | 5,753        | 5,779        | 5,793        | 5,806        | 5,816        | 5,824        | 5,837        | 5,834        | -            | -            |
|   |              | %  | <b>67.1%</b> | <b>67.8%</b> | <b>67.7%</b> | <b>67.9%</b> | <b>68.1%</b> | <b>68.6%</b> | <b>68.1%</b> | <b>68.5%</b> | <b>68.0%</b> | <b>67.8%</b> | <b>0.0%</b>  | <b>0.0%</b>  |
|   | 2019/20 Plan | Number of people aged 65 or over diagnosed with dementia           | 3,885        | 3,885        | 3,885        | 3,885        | 3,885        | 3,885        | 3,885        | 3,885        | 3,885        | 3,885        | 3,885        | 3,885        |
|   |              | Estimated prevalence of dementia based on GP registered population | 5,824        |              |              |              |              |              |              |              |              |              |              |              |
|   |              | %  | <b>66.7%</b> | <b>66.7%</b> | <b>66.7%</b> | <b>66.7%</b> | <b>66.7%</b> | <b>66.7%</b> | <b>66.7%</b> | <b>66.7%</b> | <b>66.7%</b> | <b>66.7%</b> | <b>66.7%</b> | <b>66.7%</b> |

| Minimum Standard    | 4.75%        | E.A.3   | Q1           | Q2           | Q3           | Q4           |
|---------------------|--------------|---|--------------|--------------|--------------|--------------|
| Q4 2019/20 Standard | 5.50%        |   |              |              |              |              |
| IAPT Roll Out       | 2017/18      | Number of people who receive psychological therapies          | -            | 2,090        | 2,275        | 2,525        |
|                     |              | Number of people who have depression and/or anxiety disorders | -            | 42,036       | 42,036       | 42,036       |
|                     |              | %   |              | <b>4.97%</b> | <b>5.41%</b> | <b>6.01%</b> |
|                     | 2018/19      | Number of people who receive psychological therapies          | 2,180        | 1,810        | -            | -            |
|                     |              | Number of people who have depression and/or anxiety disorders | 42,457       | 42,457       | -            | -            |
|                     |              | %   | <b>5.13%</b> | <b>4.26%</b> | <b>0.00%</b> | <b>0.00%</b> |
|                     | 2019/20 Plan | Number of people who receive psychological therapies          | 2,017        | 2,017        | 2,017        | 2,336        |
|                     |              | Number of people who have depression and/or anxiety disorders | 42,457       |              |              |              |
|                     |              | %   | <b>4.75%</b> | <b>4.75%</b> | <b>4.75%</b> | <b>5.50%</b> |

| Standard                     | 75%          | E.H.1_A1  | Q1           | Q2           | Q3           | Q4           |
|------------------------------|--------------|---|--------------|--------------|--------------|--------------|
| IAPT Waiting Times - 6 Weeks | 2017/18      | Number of ended referrals that finish a course of treatment in period who received their first appointment within 6 weeks of referral | 835          | 670          | 830          | 985          |
|                              |              | Number of ended referrals that finish a course of treatment in period   | 875          | 705          | 885          | 1,030        |
|                              |              | % *   | <b>95.4%</b> | <b>95.0%</b> | <b>93.8%</b> | <b>95.6%</b> |
|                              | 2018/19      | Number of ended referrals that finish a course of treatment in period who received their first appointment within 6 weeks of referral | 1,140        | 995          | -            | -            |
|                              |              | Number of ended referrals that finish a course of treatment in period   | 1,180        | 1,035        | -            | -            |
|                              |              | %   | <b>96.6%</b> | <b>96.1%</b> | <b>0.0%</b>  | <b>0.0%</b>  |
|                              | 2019/20 Plan | Number of ended referrals that finish a course of treatment in period who received their first appointment within 6 weeks of referral | 1,179        | 1,031        | 1,188        | 1,388        |
|                              |              | Number of ended referrals that finish a course of treatment in period   | 1,570        | 1,373        | 1,579        | 1,848        |
|                              |              | %   | <b>75.1%</b> | <b>75.1%</b> | <b>75.1%</b> | <b>75.1%</b> |

| Standard                      | 95%          | E.H.2_A2   | Q1            | Q2            | Q3            | Q4            |
|-------------------------------|--------------|--|---------------|---------------|---------------|---------------|
| IAPT Waiting Times - 18 Weeks | 2017/18      | Number of ended referrals that finish a course of treatment in period who received their first appointment within 18 weeks of referral | 875           | 705           | 885           | 1,030         |
|                               |              | Number of ended referrals that finish a course of treatment in period  | 875           | 705           | 885           | 1,030         |
|                               |              | % *  | <b>100.0%</b> | <b>100.0%</b> | <b>100.0%</b> | <b>100.0%</b> |
|                               | 2018/19      | Number of ended referrals that finish a course of treatment in period who received their first appointment within 18 weeks of referral | 1,180         | 1,035         | -             | -             |
|                               |              | Number of ended referrals that finish a course of treatment in period  | 1,180         | 1,035         | -             | -             |
|                               |              | %  | <b>100.0%</b> | <b>100.0%</b> | <b>0.0%</b>   | <b>0.0%</b>   |
|                               | 2019/20 Plan | Number of ended referrals that finish a course of treatment in period who received their first appointment within 18 weeks of referral | 1,492         | 1,305         | 1,501         | 1,756         |
|                               |              | Number of ended referrals that finish a course of treatment in period  | 1,570         | 1,373         | 1,579         | 1,848         |
|                               |              | %  | <b>95.0%</b>  | <b>95.0%</b>  | <b>95.1%</b>  | <b>95.0%</b>  |

| Standard           | 50.00%       | E.A.S 2  | Q1           | Q2           | Q3           | Q4           |
|--------------------|--------------|--|--------------|--------------|--------------|--------------|
| IAPT Recovery Rate | 2017/18      | The number of people who have finished treatment having attended at least two treatment contacts and are moving to recovery (those who at initial assessment achieved "caseness" and at final session did not).  | -            | 330          | 410          | 465          |
|                    |              | The number of people who have finished treatment within the reporting quarter (having attended at least two treatment contacts and coded as discharged) minus the number of people who have finished treatment not at clinical caseness at initial assessment. | -            | 645          | 830          | 965          |
|                    |              | %*   |              | <b>51.2%</b> | <b>49.4%</b> | <b>48.2%</b> |
|                    | 2018/19      | The number of people who have finished treatment having attended at least two treatment contacts and are moving to recovery (those who at initial assessment achieved "caseness" and at final session did not).  | 570          | 505          | -            | -            |
|                    |              | The number of people who have finished treatment within the reporting quarter (having attended at least two treatment contacts and coded as discharged) minus the number of people who have finished treatment not at clinical caseness at initial assessment. | 1,135        | 1,005        | -            | -            |
|                    |              | %  | <b>50.2%</b> | <b>50.2%</b> | <b>0.0%</b>  | <b>0.0%</b>  |
|                    | 2019/20 Plan | The number of people who have finished treatment having attended at least two treatment contacts and are moving to recovery (those who at initial assessment achieved "caseness" and at final session did not).  | 594          | 521          | 495          | 531          |
|                    |              | The number of people who have finished treatment within the reporting quarter (having attended at least two treatment contacts and coded as discharged) minus the number of people who have finished treatment not at clinical caseness at initial assessment. | 1,185        | 1,040        | 988          | 1,059        |
|                    |              | %  | <b>50.1%</b> | <b>50.1%</b> | <b>50.1%</b> | <b>50.1%</b> |

| Standard   | 56%          | E.H.4   | Q1    | Q2    | Q3    | Q4    |
|--|--------------|---|-------|-------|-------|-------|
| Tolerance  | 25%          |   |       |       |       |       |
| EIP - Psychosis treated with a NICE approved care package within two weeks of referral | 2017/18      | Number of referrals to and within the Trust with suspected first episode psychosis or at 'risk mental state' that start a NICE-recommended package care package in the reporting period within 2 weeks of referral. | -     | -     | 5     | 4     |
|  |              | Number of referrals to and within the Trust with suspected first episode psychosis or at 'risk mental state' that start a NICE-recommended care package   | 10    | 9     | 9     | 5     |
|  |              | %   | 0.0%  | 0.0%  | 55.6% | 80.0% |
|  | 2018/19      | Number of referrals to and within the Trust with suspected first episode psychosis or at 'risk mental state' that start a NICE-recommended package care package in the reporting period within 2 weeks of referral. | 5     | 4     | 14    | -     |
|  |              | Number of referrals to and within the Trust with suspected first episode psychosis or at 'risk mental state' that start a NICE-recommended care package   | 9     | 6     | 21    | -     |
|  |              | %   | 55.6% | 66.7% | 66.7% | 0.0%  |
|  | 2019/20 Plan | Number of referrals to and within the Trust with suspected first episode psychosis or at 'risk mental state' that start a NICE-recommended package care package in the reporting period within 2 weeks of referral. | 9     | 9     | 9     | 9     |
|  |              | Number of referrals to and within the Trust with suspected first episode psychosis or at 'risk mental state' that start a NICE-recommended care package   | 16    | 16    | 16    | 16    |
|  |              | %   | 56.3% | 56.3% | 56.3% | 56.3% |

| Standard                     | 34%          | E.H.9  | Q1 18/19 | Q2 18/19 | Q3 18/19 | Q4 18/19 |       |       |
|------------------------------|--------------|--|----------|----------|----------|----------|-------|-------|
|                              |              |  |          |          |          |          |       |       |
| Improve Access Rate to CYPMH | 2017/18      | 2a - Total number of individual children and young people aged 0-18 receiving treatment by NHS funded community services in the reporting period.            | 755      | 380      | 342      | 333      | 1,810 |       |
|                              |              | 2b - Total number of individual children and young people aged 0-18 with a diagnosable mental health condition.  |          |          |          |          | 7,347 | 7,347 |
|                              |              | Percentage of children and young people aged 0-18 with a diagnosable mental health condition who are receiving treatment from NHS funded community services. |          |          |          |          |       | 24.6% |
|                              | 2018/19      | 2a - Total number of individual children and young people aged 0-18 receiving treatment by NHS funded community services in the reporting period.            | -        | -        | -        | -        | -     |       |
|                              |              | 2b - Total number of individual children and young people aged 0-18 with a diagnosable mental health condition.  |          |          |          |          | 7,347 | 7,347 |
|                              |              | Percentage of children and young people aged 0-18 with a diagnosable mental health condition who are receiving treatment from NHS funded community services. |          |          |          |          |       | 0.0%  |
|                              | 2019/20 Plan | 2a - Total number of individual children and young people aged 0-18 receiving treatment by NHS funded community services in the reporting period.            | 1,043    | 525      | 472      | 459      | 2,499 |       |
|                              |              | 2b - Total number of individual children and young people aged 0-18 with a diagnosable mental health condition.  |          |          |          |          | 7,347 | 7,347 |
|                              |              | Percentage of children and young people aged 0-18 with a diagnosable mental health condition who are receiving treatment from NHS funded community services. |          |          |          |          |       | 34.0% |

| Standard (to be achieved by 2020)  | 95%          | <b>E.H.10</b>  | Q1           | Q2           | Q3           | Q4           |
|--|--------------|--|--------------|--------------|--------------|--------------|
| Tolerance  | 25%          |  |              |              |              |              |
| Waiting Times for Routine Referrals to CYP Eating Disorder Services - Within 4 Weeks | 2017/18      | Number of CYP with ED (routine cases) referred with a suspected ED that start treatment within 4 weeks of referral (Rolling 12 months) | 8            | 13           | 19           | 25           |
|  |              | Number of CYP with a suspected ED (routine cases) that start treatment (Rolling 12 months)   | 32           | 35           | 42           | 48           |
|  |              | %  | <b>25.0%</b> | <b>37.1%</b> | <b>45.2%</b> | <b>52.1%</b> |
|  | 2018/19      | Number of CYP with ED (routine cases) referred with a suspected ED that start treatment within 4 weeks of referral (Rolling 12 months) | 27           | 21           | -            | -            |
|  |              | Number of CYP with a suspected ED (routine cases) that start treatment (Rolling 12 months)   | 44           | 41           | -            | -            |
|  |              | %  | <b>61.4%</b> | <b>51.2%</b> | <b>0.0%</b>  | <b>0.0%</b>  |
|  | 2019/20 Plan | Number of CYP with ED (routine cases) referred with a suspected ED that start treatment within 4 weeks of referral (Rolling 12 months) | 26           | 29           | 33           | 38           |
|  |              | Number of CYP with a suspected ED (routine cases) that start treatment (Rolling 12 months)   | 42           | 42           | 42           | 42           |
|  |              | %  | <b>61.9%</b> | <b>69.0%</b> | <b>78.6%</b> | <b>90.5%</b> |

| Standard (to be achieved by 2020)  | 95%          | <b>E.H.11</b>  | Q1           | Q2           | Q3           | Q4           |
|--|--------------|--|--------------|--------------|--------------|--------------|
| Tolerance  | 25%          |  |              |              |              |              |
| Waiting Times for Urgent Referrals to CYP Eating Disorder Services - Within 1 Week | 2017/18      | Number of CYP with ED (urgent cases) referred with a suspected ED that start treatment within 1 week of referral                     | 2            | 3            | 6            | 8            |
|  |              | Number of CYP with a suspected ED (urgent cases) that start treatment  | 11           | 12           | -            | 16           |
|  |              | %  | <b>18.2%</b> | <b>25.0%</b> | <b>0.0%</b>  | <b>50.0%</b> |
|  | 2018/19      | Number of CYP with ED (urgent cases) referred with a suspected ED that start treatment within 1 week of referral                     | 8            | 9            | -            | -            |
|  |              | Number of CYP with a suspected ED (urgent cases) that start treatment  | 13           | 12           | -            | -            |
|  |              | %  | <b>61.5%</b> | <b>75.0%</b> | <b>0.0%</b>  | <b>0.0%</b>  |
|  | 2019/20 Plan | Number of CYP with ED (urgent cases) referred with a suspected ED that start treatment within 1 week of referral (Rolling 12 months) | 7            | 8            | 9            | 12           |
|  |              | Number of CYP with a suspected ED (urgent cases) that start treatment (Rolling 12 months)  | 12           | 12           | 12           | 14           |
|  |              | %  | <b>58.3%</b> | <b>66.7%</b> | <b>75.0%</b> | <b>85.7%</b> |

| Standard  | 60%          | <b>E.H.13</b>  | Q1           | Q2           | Q3           | Q4           |
|---|--------------|--|--------------|--------------|--------------|--------------|
| People with a severe mental illness receiving a full annual physical health check | 2018/19      | Number of people on the General Practice SMI Register (on the last day of the reporting period) excluding patients recorded as 'in remission' who have received a comprehensive physical health assessment (i.e. all of the checks 1-6 described above) in the 12 months to the end of the reporting period, delivered in a primary care setting | -            | -            | 704          | -            |
|   |              | Number of people on the General Practice SMI registers (on the last day of the reporting period) excluding patients recorded as 'in remission'   | -            | -            | 3,339        | -            |
|   | %            | <b>0.0%</b>  | <b>0.0%</b>  | <b>21.1%</b> | <b>0.0%</b>  |              |
|   | 2019/20 Plan | Number of people on the General Practice SMI Register (on the last day of the reporting period) excluding patients recorded as 'in remission' who have received a comprehensive physical health assessment (i.e. all of the checks 1-6 described above) in the 12 months to the end of the reporting period, delivered in a primary care setting | 704          | 1,137        | 1,570        | 2,005        |
|   |              | Number of people on the General Practice SMI registers (on the last day of the reporting period) excluding patients recorded as 'in remission'   | 3,339        |              |              |              |
|   |              | %  | <b>21.1%</b> | <b>34.1%</b> | <b>47.0%</b> | <b>60.0%</b> |

|                                |              | <b>E.H.14a</b>  | Q1 | Q2 | Q3 | Q4 |
|--------------------------------|--------------|---|----|----|----|----|
| IAPT Workforce - IAPT Trainees | 2019/20 Plan | Headcount of total new trainee psychological wellbeing practitioners (PwP) and high intensity (HI) therapists taken on in an IAPT service during the period, who also attend an accredited IAPT training course.              | -  | -  | -  | -  |
|                                |              | Headcount of total new trainee PwP and HI therapists taken on in an IAPT service during period, who also attend an accredited IAPT training course that are filling existing vacancies. This is a subset of the total figure. | -  | -  | -  | -  |

|   |              | <b>E.H.14b</b>   | Q1 | Q2 | Q3 | Q4 |
|---|--------------|--|----|----|----|----|
| IAPT Workforce - Therapists colocated in primary Care | 2019/20 Plan | Total number of MH therapists co-located in primary care at the end of the period.               | -  | -  | -  | -  |
|   |              | Total full-time equivalent of MH therapists co-located in primary care at the end of the period. | -  | -  | -  | -  |

|                                  |                    |
|----------------------------------|--------------------|
| CCG Name                         | Calculated Field   |
| NHS Ipswich and East Suffolk CCG | Prepopulated Cell  |
|                                  | Enter Data in Cell |

## Planning 2019/20 Primary Care

|            |             |   | Headcount                  |                |    |    |     |                              | Participation rate | FTE             |                |       |       |        |                              |
|------------|-------------|---|----------------------------|----------------|----|----|-----|------------------------------|--------------------|-----------------|----------------|-------|-------|--------|------------------------------|
|            |             |   | 2018/19 Inflows<br>Jan-Mar | 2019/20 Inflow |    |    |     | 2019/20<br>Planned<br>inflow |                    | 2018/19 Inflows | 2019/20 Inflow |       |       |        | 2019/20<br>Planned<br>inflow |
|            |             |   |                            | Q1             | Q2 | Q3 | Q4  |                              |                    |                 | Q1             | Q2    | Q3    | Q4     |                              |
| Inflow     | GP          | New Fully Qualified GPs   | 2                          | 0              | 30 | 3  | 1   | 34                           | 0.70               | 1.40            | 0.00           | 21.00 | 2.10  | 0.70   | 23.80                        |
|            |             | Induction & Refresher scheme  | 0                          | 0              | 1  | 0  | 1   | 2                            | 0.00               | 0.00            | 0.00           | 0.00  | 0.00  | 0.00   | 0.00                         |
|            |             | International recruitment   | 1                          | 1              | 1  | 1  | 2   | 5                            | 0.80               | 0.80            | 0.80           | 0.80  | 0.80  | 1.60   | 4.00                         |
|            |             | GP Retention Scheme   | 0                          | 1              | 1  | 1  | 1   | 4                            | 0.40               | 0.00            | 0.40           | 0.40  | 0.40  | 0.40   | 1.60                         |
|            |             | Other GP retention initiatives  | 5                          | 4              | 4  | 5  | 7   | 20                           | 0.50               | 2.50            | 2.00           | 2.00  | 2.50  | 3.50   | 10.00                        |
|            |             | Other   | 0                          | 1              | 0  | 1  | 0   | 2                            | 0.80               | 0.00            | 0.80           | 0.00  | 0.80  | 0.00   | 1.60                         |
|            | Clinical    | Nurses<br>Direct Patient Care staff (excluding physician<br>associates and pharmacists) | 3                          | 15             | 25 | 34 | 20  | 94                           | 0.60               | 1.80            | 9.00           | 15.00 | 20.40 | 12.00  | 56.40                        |
|            |             |   | 7                          | 14             | 14 | 15 | 14  | 57                           | 0.60               | 4.20            | 8.40           | 8.40  | 9.00  | 8.40   | 34.20                        |
|            |             | Physician Associates  | 1                          | 2              | 2  | 2  | 2   | 8                            | 0.90               | 0.90            | 1.80           | 1.80  | 1.80  | 1.80   | 7.20                         |
|            |             | Pharmacists   | 1                          | 1              | 4  | 6  | 7   | 18                           | 0.70               | 0.70            | 0.70           | 2.80  | 4.20  | 4.90   | 12.60                        |
| Non Clinic | Admin Staff | 15  | 65                         | 75             | 83 | 87 | 310 | 0.70                         | 10.50              | 45.50           | 52.50          | 58.10 | 60.90 | 217.00 |                              |

|         |   |                      | Headcount                  |                 |    |    |    |                               | Participation rate | FTE             |                 |       |       |       |                               |
|---------|---|----------------------|----------------------------|-----------------|----|----|----|-------------------------------|--------------------|-----------------|-----------------|-------|-------|-------|-------------------------------|
|         |   |                      | 2018/19 Outflow<br>Jan-Mar | 2019/20 Outflow |    |    |    | 2019/20<br>Planned<br>outflow |                    | 2018/19 Outflow | 2019/20 Outflow |       |       |       | 2019/20<br>Planned<br>outflow |
|         |   |                      |                            | Q1              | Q2 | Q3 | Q4 |                               |                    |                 | Q1              | Q2    | Q3    | Q4    |                               |
| Outflow | GP  | Retirement           | 6                          | 6               | 6  | 6  | 6  | 24                            | 0.90               | 5.40            | 5.40            | 5.40  | 5.40  | 5.40  | 21.60                         |
|         |   | Other                | 5                          | 5               | 5  | 5  | 5  | 20                            | 0.70               | 3.50            | 3.50            | 3.50  | 3.50  | 3.50  | 14.00                         |
|         | Nurses<br>Direct Patient Care staff (excluding physician<br>associates and pharmacists) | 10                   | 20                         | 25              | 25 | 20 | 90 | 0.60                          | 6.00               | 12.00           | 15.00           | 15.00 | 12.00 | 54.00 |                               |
|         |   | 7                    | 15                         | 15              | 15 | 15 | 60 | 0.60                          | 4.20               | 9.00            | 9.00            | 9.00  | 9.00  | 36.00 |                               |
|         |   | Physician Associates | 0                          | 0               | 0  | 0  | 0  | 0                             | 0.00               | 0.00            | 0.00            | 0.00  | 0.00  | 0.00  | 0.00                          |
|         | Clinical  | Pharmacists          | 0                          | 0               | 0  | 0  | 0  | 0                             | 0.00               | 0.00            | 0.00            | 0.00  | 0.00  | 0.00  | 0.00                          |
|         | Non Clinic  | Admin Staff          | 14                         | 68              | 68 | 78 | 75 | 289                           | 0.70               | 9.80            | 47.60           | 47.60 | 54.60 | 52.50 | 202.30                        |



|               |              |  | Historic Trend |          |          |          |          | Forecast Plan |          |                  |                  |          |          |          |          |              |        |
|---------------|--------------|--|----------------|----------|----------|----------|----------|---------------|----------|------------------|------------------|----------|----------|----------|----------|--------------|--------|
|               |              |  | 17-18 Q1       | 17-18 Q2 | 17-18 Q3 | 17-18 Q4 | 18-19 Q1 | 18-19 Q2      | 18-19 Q3 | 2018/19 Net Flow | 2018/19 Forecast | Q1       | Q2       | Q3       | Q4       | 2019/20 Plan | Growth |
| Rolling Total | GP           | Excluding Registrars   | 0.00           | 0.00     | 487.99   | 0.00     | 0.00     | 478.09        | 465.89   | -4.20            | 461.69           | 456.79   | 472.09   | 469.79   | 467.09   | 467.09       | 1.2%   |
|               | Clinical     | Nurses   | 0.00           | 0.00     | 340.48   | 0.00     | 0.00     | 341.69        | 334.11   | -4.20            | 329.91           | 326.91   | 326.91   | 332.31   | 332.31   | 332.31       | 0.7%   |
|               |              | Direct Patient Care staff (excluding physician associates and pharmacists) | 0.00           | 0.00     | 314.72   | 0.00     | 0.00     | 315.96        | 314.98   | 0.00             | 314.98           | 314.38   | 313.78   | 313.78   | 313.18   | 313.18       | -0.6%  |
|               |              | Physician Associates   | 0.00           | 0.00     | 1.01     | 0.00     | 0.00     | 3.27          | 3.27     | 0.90             | 4.17             | 5.97     | 7.77     | 9.57     | 11.37    | 11.37        | 172.8% |
|               | Clinical     | Pharmacists  | 0.00           | 0.00     | 13.17    | 0.00     | 0.00     | 16.28         | 16.14    | 0.70             | 16.84            | 17.54    | 20.34    | 24.54    | 29.44    | 29.44        | 74.8%  |
|               | Non-Clinical | Admin Staff  | 0.00           | 0.00     | 1147.76  | 0.00     | 0.00     | 1194.78       | 1172.22  | 0.70             | 1,172.92         | 1,170.82 | 1,175.72 | 1,179.22 | 1,187.62 | 1,187.62     | 1.3%   |

| CCG Code | CCG Name                         |
|----------|----------------------------------|
| 06L      | NHS Ipswich and East Suffolk CCG |

|                    |
|--------------------|
| Calculated Field   |
| Prepopulated Cell  |
| Enter Data in Cell |

## Planning 19/20 Other Commitments

| Upper Bound             | 650            | <b>E.N.1</b>                       | Q1 | Q2  | Q3  | Q4  |
|-------------------------|----------------|------------------------------------|----|-----|-----|-----|
| Lower Bound             | 500            |                                    | Q1 | Q2  | Q3  | Q4  |
| Personal Health Budgets | 2017/18 Actual | Cumulative number of PHBs in place | 27 | 25  | 25  | -   |
|                         | 2018/19 Actual | Cumulative number of PHBs in place | 46 | 496 | -   | -   |
|                         | 2019/20 Plan   | Cumulative number of PHBs in place | 35 | 59  | 279 | 500 |

| Standard   | 92%          | <b>E.O.1</b>   | Q1           | Q2           | Q3           | Q4           |
|--|--------------|--|--------------|--------------|--------------|--------------|
|  |              |  |              |              |              |              |
| Children Waiting more than 18 Weeks for a Wheelchair | 2017/18      | Number of children whose episode of care was closed within the reporting period where equipment was delivered in 18 weeks or less of being referred to the service | 10           | 18           | 19           | 29           |
|  |              | Total number of children whose episode of care was closed within the quarter where equipment was delivered or a modification was made.                             | 18           | 28           | 27           | 52           |
|  |              | %  | <b>55.6%</b> | <b>64.3%</b> | <b>70.4%</b> | <b>55.8%</b> |
|  | 2018/19      | Number of children whose episode of care was closed within the reporting period where equipment was delivered in 18 weeks or less of being referred to the service | 28           | 22           | -            | -            |
|  |              | Total number of children whose episode of care was closed within the quarter where equipment was delivered or a modification was made.                             | 30           | 23           | -            | -            |
|  |              | %  | <b>93.3%</b> | <b>95.7%</b> | <b>0.0%</b>  | <b>0.0%</b>  |
|  | 2019/20 Plan | Number of children whose episode of care was closed within the reporting period where equipment was delivered in 18 weeks or less of being referred to the service | 23           | 23           | 23           | 23           |
|  |              | Total number of children whose episode of care was closed within the quarter where equipment was delivered or a modification was made.                             | 25           | 25           | 25           | 25           |
|  |              | %  | <b>92.0%</b> | <b>92.0%</b> | <b>92.0%</b> | <b>92.0%</b> |

| 2019/20 Target for CCG   | 1652.51 | <b>E.K.3</b>   | Q1           | Q2           | Q3           | Q4           |
|--|---------|--|--------------|--------------|--------------|--------------|
| AHCs delivered by GPs for patients on the Learning Disability Register | 2017/18 | Patients aged 14 or over on the GPs Learning Disability Register receiving a health check within the quarter | 165          | 213          | 318          | 460          |
|  |         | Population on the GPs Learning Disability Register   | 1968         |              |              |              |
|  |         | %  | <b>8.4%</b>  | <b>10.8%</b> | <b>16.2%</b> | <b>23.4%</b> |
|  | 2018/19 | Patients aged 14 or over on the GPs Learning Disability Register receiving a health check within the quarter | 207          | 334          | -            | -            |
|  |         | Population on the GPs Learning Disability Register   | 1968         |              |              |              |
|  |         | %  | <b>10.5%</b> | <b>17.0%</b> | <b>0.0%</b>  | <b>0.0%</b>  |
|  | 2019/20 | Patients aged 14 or over on the GPs Learning Disability Register receiving a health check within the quarter | 220          | 380          | 400          | 653          |
|  |         | Population on the GPs Learning Disability Register   | 1968         |              |              |              |
|  |         | %  | <b>11.2%</b> | <b>19.3%</b> | <b>20.3%</b> | <b>33.2%</b> |

| CCG Code | CCG Name                         |
|----------|----------------------------------|
| 06L      | NHS Ipswich and East Suffolk CCG |

|                    |
|--------------------|
| Calculated Field   |
| Prepopulated Cell  |
| Enter Data in Cell |

### Planning 19/20 LD Patient Projections

| E.K.1a  |         | Q1   | Q2      | Q3    | Q4    |       |
|---|---------|--|---------|-------|-------|-------|
| Reliance on Inpatient Care for People with LD or Autism - Care commissioned by CCGs | 2017/18 | The number of people from the CCG who have a learning disability and/or autistic spectrum disorder that are in inpatient care for mental and/or behavioural healthcare needs, and whose bed is commissioned by a CCG. This will include all adults in inpatient wards that are not classified as low-, medium- or high-secure. | 10      | 10    | 5     | 5     |
|   |         | GP Registered Population of CCG (18+ only)   | 325,389 |       |       |       |
|   |         | Learning Disability Inpatient Rate per Million GP Registered Population  | 30.73   | 30.73 | 15.37 | 15.37 |
|   | 2018/19 | The number of people from the CCG who have a learning disability and/or autistic spectrum disorder that are in inpatient care for mental and/or behavioural healthcare needs, and whose bed is commissioned by a CCG. This will include all adults in inpatient wards that are not classified as low-, medium- or high-secure. | 0       | 5     | 0     | 0     |
|   |         | GP Registered Population of CCG (18+ only)   | 325,389 |       |       |       |
|   |         | Learning Disability Inpatient Rate per Million GP Registered Population  | 0.00    | 15.37 | 0.00  | 0.00  |
|   | 2019/20 | The number of people from the CCG who have a learning disability and/or autistic spectrum disorder that are in inpatient care for mental and/or behavioural healthcare needs, and whose bed is commissioned by a CCG. This will include all adults in inpatient wards that are not classified as low-, medium- or high-secure. | 6       | 6     | 6     | 6     |
|   |         | GP Registered Population of CCG (18+ only)   | 325,389 |       |       |       |
|   |         | Learning Disability Inpatient Rate per Million GP Registered Population  | 18.44   | 18.44 | 18.44 | 18.44 |

| E.K.1b   |         |  | Q1      | Q2    | Q3    | Q4    |
|--|---------|--|---------|-------|-------|-------|
| Reliance on Inpatient Care for People with LD or Autism - Care commissioned by NHS England | 2017/18 | The number of people from the CCG who have a learning disability and/or autistic spectrum disorder that are in inpatient care for mental and/or behavioural healthcare needs, and whose bed is commissioned by NHS England. This will include all adults in inpatient wards that are classified as low-medium- or high-secure, and all children and young people in Tier 4 CAMHS services. | .       | .     | .     | .     |
|  |         | GP Registered Population of CCG (18+ only)   | 325,389 |       |       |       |
|  |         | Learning Disability Inpatient Rate per Million GP Registered Population  | 0.00    | 0.00  | 0.00  | 0.00  |
|  | 2018/19 | The number of people from the CCG who have a learning disability and/or autistic spectrum disorder that are in inpatient care for mental and/or behavioural healthcare needs, and whose bed is commissioned by NHS England. This will include all adults in inpatient wards that are classified as low-medium- or high-secure, and all children and young people in Tier 4 CAMHS services. | .       | 5     | 0     | 0     |
|  |         | GP Registered Population of CCG (18+ only)   | 325,389 |       |       |       |
|  |         | Learning Disability Inpatient Rate per Million GP Registered Population  | 0.00    | 15.37 | 0.00  | 0.00  |
|  | 2019/20 | The number of people from the CCG who have a learning disability and/or autistic spectrum disorder that are in inpatient care for mental and/or behavioural healthcare needs, and whose bed is commissioned by NHS England. This will include all adults in inpatient wards that are classified as low-medium- or high-secure, and all children and young people in Tier 4 CAMHS services. | 6       | 6     | 6     | 6     |
|  |         | GP Registered Population of CCG (18+ only)   | 325,389 |       |       |       |
|  |         | Learning Disability Inpatient Rate per Million GP Registered Population  | 18.44   | 18.44 | 18.44 | 18.44 |

| Calculated Summary of E.K.1a + E.K.1b                   |              |   | Q1     | Q2    | Q3    | Q4    |
|---|--------------|---|--------|-------|-------|-------|
| Reliance on Inpatient Care for People with LD or Autism | 2018/19      | The number of people from the CCG who have a learning disability and/or autistic spectrum disorder that are in inpatient care for mental and/or behavioural healthcare needs. | 0      | 10    | 0     | 0     |
|   |              | GP Registered Population of CCG (18+ only)  | 325389 |       |       |       |
|   |              | Learning Disability Inpatient Rate per Million GP Registered Population   | 0.00   | 30.73 | 0.00  | 0.00  |
|   | 2019/20 Plan | The number of people from the CCG who have a learning disability and/or autistic spectrum disorder that are in inpatient care for mental and/or behavioural healthcare needs. | 12     | 12    | 12    | 12    |
|   |              | GP Registered Population of CCG (18+ only)  | 325389 |       |       |       |
|   |              | Learning Disability Inpatient Rate per Million GP Registered Population   | 36.88  | 36.88 | 36.88 | 36.88 |



**GOVERNING BODY**

|                        |                      |
|------------------------|----------------------|
| <b>Agenda Item No.</b> |                      |
| <b>Reference No.</b>   | <b>Appendix B</b>    |
| <b>Date.</b>           | <b>26 March 2019</b> |

|  |   |   |
|--|---|---|
| <b>Title</b>   | <b>2019/20 Operational Plan</b>   |   |
| <b>Lead Chief Officer</b>  | Jane Payling, Chief Finance Officer   |   |
| <b>Author(s)</b>   | Ameeta Bhagwat, Head of Financial Planning and Management Accounts                  |   |
| <b>Purpose</b>   | To present the 2019/20 financial plan for approval                                  |   |
| <b>Applicable CCG Clinical Priorities:</b>   |   |   |
| 1.   | To promote self-care  |   |
| 2.   | To ensure high quality local services where possible                                | x |
| 3.   | To improve the health of those most in need   |   |
| 4.   | To improve health & educational attainment for children & young people              |   |
| 5.   | To improve access to mental health services   |   |
| 6.   | To improve outcomes for patients with diabetes to above national averages           |   |
| 7.   | To improve care for frail elderly individuals                                       |   |
| 8.   | To allow patients to die with dignity & compassion & to choose their place of death |   |
| 9.   | To ensure that the CCG operates within agreed budgets                               | x |
| <b>Action required by Governing Body:</b>  |   |   |
| To review and approve the financial plan for 2019/20.                                    |   |   |
| To delegate responsibility for any final changes to the Financial Performance Committee. |   |   |

## **1. Background**

- 1.1 The CCG is required to prepare annual financial plans which are constructed with due regard to the national business rules of financial planning, including achievement of the regulator determined financial control total, maintenance of sufficient income and expenditure reserve on the balance sheet and setting aside adequate funding as contingency to manage financial risks. Financial allocations, which determine the maximum level of funding available to spend, were issued in late January 2019.
- 1.2 A draft financial plan, reviewed by the Financial Performance Committee, was submitted to NHSE on 12th February in line with the national planning timetable and subsequently presented to Financial Performance Committee and CCG Executive. The plan set out in this paper reflects those discussions and the decisions made at the meetings. As the plan sets out the financial strategy for the coming year, the Governing Body is required to approve the plan for the financial year.
- 1.3 The final financial plan will be submitted to NHS England on 4 April taking account of any further changes in contract values and final refinements. Whilst no significant amendments are anticipated, the Governing Body is asked to delegate approval of any further significant amendments to the plan to the Financial Performance Committee in order to achieve this timetable.

## **2. Summary**

- 2.1 The framework within which CCG plans are to be developed for 2019/20 is set out in the business rules for CCGs and the Planning Guidance issued in January 2019. The most relevant elements for the Governing Body to be aware of are set out below:
  - NHSE has informed the CCG by letter that it will be required to deliver in year balance in 2019/20. In addition the CCG will be allowed 'good' drawn down of £3m from its accumulated underspend (balance sheet income and expenditure reserve) following delivery of £3m surplus in 2018/19;
  - the CCG must plan to hold an uncommitted contingency of at least 0.5% of allocation to mitigate against in year financial risks;
  - the CCG will receive 5.48% growth on its programme allocation and 6.05% uplift on its delegated primary care co-commissioning allocation. These allocations include elements of catch-up funding relating to 2018/19 pay awards in NHS providers, redistribution of part of the provider sustainability funding via CCG allocations and a specific allocation for investment in mental health;
  - the CCG has received separate specific allocations for ambulance service costs and reclassification of certain services from specialised commissioning; and
  - the mental health investment standard requires CCGs to demonstrate that mental health expenditure will be increased in line with growth in programme allocation plus the additional allocation giving a total of 6.3% minimum increase on mental health expenditure.
- 2.2 A high level summary of the allocations received by the CCG in 2019/20 and the proposed deployment of this funding is set out in table 1 overleaf. Further sections of the paper provide more detail showing how this plan has been built up from the 2018/19 forecast outturn, the investments and cost pressures included, high level savings (QIPP) plan and an analysis of overall financial risk.

**Table 1- High level summary of proposed 2019/20 financial plan**

| <b>Sources and Application of funds</b> | <b>19-20 Plan<br/>£m</b> |
|---|--------------------------|
| Recurrent Allocation                    | 512.7                    |
| Recurrent Changes In-Year               | 0.7                      |
| Primary Care Delegated Commissioning    | 58.4                     |
| Running Cost Allocation                 | 8.5                      |
| In-Year Drawdown                        | 3.0                      |
| <b>Total Income</b>                     | <b>583.3</b>             |
| Acute                                   | 286.2                    |
| Mental Health                           | 57.7                     |
| Community                               | 49.4                     |
| Continuing Care                         | 25.9                     |
| Primary Care Prescribing                | 60.2                     |
| Primary Care                            | 11.1                     |
| Other Programme                         | 22.9                     |
| Primary Care Co-Commissioning           | 58.4                     |
| <b>Total Programme Costs</b>            | <b>571.8</b>             |
| Running Costs                           | 8.5                      |
| Contingency                             | 2.9                      |
| <b>Total Expenditure</b>                | <b>583.3</b>             |
| <b>'In Year' Surplus/ (Deficit)</b>     | <b>0.0</b>               |

### **3. Changes from 2018/19**

- 3.1 A bridge showing the movement from 2018/19 expected recurrent outturn (ongoing expenditure) to the 2019/20 plan is shown in Appendix 1.
- 3.2 The forecast outturn for 2018/19 of £552.8m has been uplifted for inflation and expected growth along with £15.7m of additional investments (recurrent & non-recurrent).



## 4. Expenditure Plan

4.1 The expenditure plan is set out below and is inclusive of the QIPP expenditure savings. Table 2 shows the analysis of expenditure into the main service areas and the Chief Officers responsible for managing each budget area under the scheme of delegation.

**Table 2 - Analysis of plan by service area**

| Planning Category                    | Sub-Category                                | Budget Holder                    | £'m                     |              |
|--------------------------------------|---|----------------------------------|-------------------------|--------------|
| <b>Acute</b>                         | <b>Other Acute Contracts</b>                | Chief Contracts Officer          | 49.3                    |              |
|                                      |   | Chief Finance Officer            | 1.0                     |              |
|                                      |   | Chief Nursing Officer            | 1.6                     |              |
|                                      |   | Chief Transformation Officer     | 3.2                     |              |
|                                      |   | Chief Contracts Officer          | 213.9                   |              |
|                                      | <b>ESNEFT</b>                               |                                  |                         |              |
|                                      | <b>EEAST</b>                                | Chief Contracts Officer          | 17.2                    |              |
| <b>Community</b>                     | <b>Other Community</b>                      | Chief Contracts Officer          | 2.4                     |              |
|                                      |   | Chief Transformation Officer     | 0.5                     |              |
|                                      | <b>Suffolk Community Healthcare</b>         | Chief Contracts Officer          | 41.8                    |              |
|                                      | <b>Hospices</b>                             | Chief Contracts Officer          | 1.7                     |              |
|                                      | <b>Long Term Conditions</b>                 | Chief Nursing Officer            | 0.5                     |              |
|                                      | <b>Children</b>                             | Chief Nursing Officer            | 2.6                     |              |
|                                      | <b>Continuing Care</b>                      | Chief Nursing Officer            | 25.9                    |              |
| <b>Mental Health</b>                 | <b>NSFT</b>                                 | Chief Transformation Officer     | 47.9                    |              |
|                                      | <b>Other MH placements and Pooled fund</b>  | Chief Nursing Officer            | 7.9                     |              |
|                                      |   | Chief Transformation Officer     | 1.3                     |              |
|                                      |   | Chief Nursing Officer            | 0.6                     |              |
|                                      | <b>Learning Disability Placements</b>       | Chief Nursing Officer            | 0.6                     |              |
| <b>Other Programme</b>               | <b>Better Care Fund</b>                     | Chief Transformation Officer     | 9.7                     |              |
|                                      | <b>Ambulance Services PTS</b>               | Chief Contracts Officer          | 3.7                     |              |
|                                      | <b>Clinical Academic Reserve</b>            | Chief Officer                    | 0.3                     |              |
|                                      | <b>Property Recharges</b>                   | Chief Corporate services Officer | 1.5                     |              |
|                                      | <b>General Reserve</b>                      | Chief Finance Officer            | 7.7                     |              |
|                                      | <b>Prescribing</b>                          | Chief Operating Officer          | 60.2                    |              |
| <b>Primary Care</b>                  | <b>Primary Care</b>                         | Chief Corporate services Officer | 1.4                     |              |
|                                      |   | Chief Operating Officer          | 6.6                     |              |
|                                      |   | Chief Transformation Officer     | 3.2                     |              |
|                                      |   | Chief Operating Officer          | 0.1                     |              |
| <b>Primary Care Co-Commissioning</b> | <b>Enhanced services</b>                    | Chief Operating Officer          | 0.1                     |              |
|                                      | <b>General Practice - PMS</b>               | Chief Operating Officer          | 1.6                     |              |
|                                      | <b>Other - GP Services</b>                  | Chief Operating Officer          | 0.1                     |              |
|                                      | <b>Other premises costs</b>                 | Chief Operating Officer          | 0.3                     |              |
|                                      | <b>QOF</b>                                  | Chief Operating Officer          | 1.2                     |              |
|                                      | <b>Primary Care Delegated Commissioning</b> | Chief Operating Officer          | 55.1                    |              |
|                                      | <b>Running Costs</b>                        | <b>Shared running Costs</b>      | Chief Contracts Officer | 0.6          |
| Chief Corporate services Officer     |   |                                  | 2.3                     |              |
| Chief Finance Officer                |   |                                  | 1.3                     |              |
| Chief Nursing Officer                |   |                                  | 0.9                     |              |
| Chief Officer                        |   |                                  | 0.6                     |              |
| Chief Transformation Officer         |   |                                  | 1.1                     |              |
| <b>Direct running Costs</b>          |   |                                  | Chief Operating Officer | 1.8          |
| <b>Contingency</b>                   |   |                                  | Chief Finance Officer   | 2.9          |
| <b>Grand Total</b>                   |   |                                  |                         | <b>583.3</b> |

## **5. Contingencies, Investments & Cost Pressures**

### **Contingencies**

- 5.1 CCG business rules require a minimum of 0.5% of budget to be held as a contingency. Given the level of financial risks (see section 8) the CCG executive has determined that a further 0.5% should be set aside as a 'shadow' contingency giving a total of 1%. This shadow contingency will be used predominantly to help manage system financial risks, which have been assessed as most likely to occur at our main acute and community provider, East Suffolk and North Essex Foundation Trust.
- 5.2 The use of and need for contingencies will be reviewed during the year and may be released for further non-recurrent investment.

### **Cost Pressures**

- 5.3 The long list of cost pressures has been reviewed in detail by the Financial Performance Committee and CCG Executive in their scrutiny of the financial plan.
- 5.4 The most significant cost pressures for 2019/20 are listed below, some of these are technical in nature given the method of setting the budget (using the 18/19 outturn as a base):
  - Reinstatement of the contingency and shadow contingency (£2.92m, £2.92m)
  - Reinstatement and review of the CCG running cost budget within the specific running cost allowance (to recreate vacant posts and reflect any known changes for 2019/20) (£1.57m)
  - Creation of the budget to accommodate the transfer of services from specialist commissioning (for which additional allocation has been received) (£1.29m)
- 5.5 The remaining cost pressures reflect known higher costs for 2019/20, with the highest value items set out below:
  - East of England Ambulance Service Trust – costs associated with investment resulting from the implementation of the Independent Service Review and activity share rebasing (£1.07m)
  - Urgent care services cost pressure, which is partly offset by savings in out of hours reflecting realignment of budget to new contract (£0.63m)

### **Investments**

- 5.6 As we move towards greater alliance working in Ipswich and East Suffolk, we propose to adopt a different approach to the use of discretionary funding in 2019/20.
- 5.7 During 2018/19, the first steps towards alliance decision making were made via the CCG transformation funding, which was prioritised by alliance partners. This will be further developed in 2019/20 with alliance partners playing a larger role in determining local priorities and investments.
- 5.8 To prepare for this change, the CCG Executive has reviewed a list of investments which includes commissioning gaps, full year effect of previous schemes and business cases brought to the Executive during 2018/19. These have been split between those which are

deemed pre-committed in the opening budget and those which will be passed to the alliance for consideration and prioritisation against the allocated alliance discretionary fund.

5.9 Pre-committed investments include significant investment in mental health (including expansion of IAPT services and Crisis and Home Treatment) and community (including speech and language therapy for Adults & Children, OT & Physio to special Schools).

5.10 The funding available for discretionary investment includes monies formerly used as transformation funds and the £3m non recurrent draw down of 2018/19 planned surplus. The pre-committed investments have been deducted from this funding leaving £4.69m available for initial alliance prioritisation as shown in table 3 below.

**Table 3 – Alliance Discretionary Expenditure after pre-committed investments**

|  | Recurrent   | Non-Recurrent | Total       |
|--|-------------|---------------|-------------|
|  | £m          | £m            | £m          |
| Drawdown of Surplus (Non-Recurrent)                      |             | 3.00          | 3.00        |
| Alliance Transformation funds                            | 4.41        | 2.43          | 6.84        |
| <b>Total Investment funds</b>                            | <b>4.41</b> | <b>5.43</b>   | <b>9.84</b> |
| Value of Pre-Committed Investments                       | 4.41        | 0.74          | 5.15        |
| <b>Balance Remaining for Initial Alliance Deployment</b> | <b>0.00</b> | <b>4.69</b>   | <b>4.69</b> |

5.11 Formal sign-off of the investments agreed by the alliance will still be made via the CCG Executive and Governing Body, as the statutory body accountable for the funding. The Financial Performance Committee will receive regular reports on its use.

5.12 During the year, regular reviews of the CCG financial position including use of contingencies, areas of over and under spending and additional funding received may release funding for to expand the alliance investment monies. In particular, any Quality Premium earned during 2018/19 (paid in 2019/20) may be added to the fund.

## **6. Mental Health Investment Standard**

6.1 Mental health spending is expected to increase in excess of the required 6.3% minimum increase and thus meets the Mental Health Investment Standard.

## **7. Efficiency savings**

7.1 In order to ensure the delivery of the plan the CCG needs to make £9.73m of QIPP savings, equivalent to 1.7%. The initial high level split of the QIPP between expenditure areas is shown in table 4 overleaf.

**Table 4 - Efficiency savings (QIPP)**

| Area of Spend              | 2019/20<br>QIPP<br>£m |
|----------------------------|-----------------------|
| Acute Services             | (4.08)                |
| Primary Care Prescribing   | (3.21)                |
| Continuing Health Services | (0.76)                |
| Community Health Services  | (0.26)                |
| Running Costs              | (0.76)                |
| Primary Care Other         | (0.51)                |
| Other                      | (0.16)                |
| <b>Total</b>               | <b>(9.73)</b>         |

**8. Risks and mitigations**

- 8.1 The risks and mitigations associated with the plan are shown in table 5 below. The main risk identified for 2019/20 is the risk to achievement of the system control total through financial pressures at our main provider, ESNEFT. Other risks include those associated with variable expenditure areas such as prescribing and continuing healthcare. In addition to the risks listed below, there is still some potential variability on acute contracts which are pending final agreement.

**Table 5 - Risks and mitigations**

| Risks                               | 2019-20<br>£m |
|-------------------------------------|---------------|
| Acute Contracts - systems pressure  | 2.92          |
| Acute Contract - other              | 0.50          |
| Primary Care Prescribing            | 0.30          |
| Continuing Healthcare               | 0.50          |
| Community Health Services           | 0.57          |
| Primary Care Other                  | 0.50          |
| <b>Total</b>                        | <b>5.29</b>   |
|                                     |               |
| Mitigations                         | 2019-20<br>£m |
| Shadow contingency/ General Reserve | 2.92          |
| Delay/ Reduce Investments           | 0.60          |
| Contingency                         | 1.77          |
| <b>Total</b>                        | <b>5.29</b>   |
|                                     |               |
| <b>Net Risk</b>                     | <b>-</b>      |

- 8.2 The financial plan presented contains sufficient reserves and contingencies to cover the expected risk.

**9. Recommendation**

- 9.1 The Governing Body is asked to:

- Approve the plan for 2019/20, subject to any further changes to guidance or allocation
- Delegate authority to the Financial Performance Committee for any significant changes required prior to the final submission

**Appendix 1: Expenditure Bridge from 2018/19 to 2019/20**

| Source & Apps                        | 18-19 Budget<br>£m | 18-19 FOT<br>£m | Non Recurrent Adjustments<br>£m | 18-19 Adjusted FOT | Net Tariff Inflation / Deflation (+/-)<br>£m | Growth<br>£m | Activity Growth (Non-Demog) (+)<br>£m | Other Recurrent Cost Pressures<br>£m | Investments Rec & NR<br>£m | QIPP         | 19-20 Plan<br>£m | Change in value over FOT<br>£m | Variance % |
|--------------------------------------|--------------------|-----------------|---------------------------------|--------------------|--|--------------|---------------------------------------|--------------------------------------|----------------------------|--------------|------------------|--------------------------------|------------|
| Recurrent                            | 548.8              | 548.8           |                                 | 548.8              |  |              |                                       |                                      |                            |              | 583.3            | 34.5                           | 6.3%       |
| Non-Recurrent                        | 7.0                | 7.0             | (7.0)                           | 0.0                |  |              |                                       |                                      |                            |              | 0.0              | 0.0                            |            |
| <b>Total Income</b>                  | <b>555.8</b>       | <b>555.8</b>    |                                 | <b>548.8</b>       |  |              |                                       |                                      |                            |              | <b>583.3</b>     |                                |            |
| Acute                                | 270.4              | 269.1           | (8.4)                           | 260.6              | 12.2   | 3.9          | 1.7                                   | 8.0                                  | 3.8                        | (4.1)        | 286.2            | 25.5                           | 9.8%       |
| Mental Health                        | 53.5               | 53.3            | (0.5)                           | 52.8               | 1.4  | 0.8          | 1.1                                   | 0.1                                  | 1.5                        | 0.0          | 57.7             | 4.9                            | 9.2%       |
| Community                            | 45.4               | 45.5            | (0.0)                           | 45.4               | 1.5  | 0.7          | 0.0                                   | 0.1                                  | 2.0                        | (0.3)        | 49.4             | 4.0                            | 8.9%       |
| Continuing Care                      | 25.5               | 25.0            | 0.0                             | 25.0               | 1.0  | 0.4          | 0.3                                   | 0.0                                  | 0.0                        | (0.8)        | 25.9             | 0.9                            | 3.5%       |
| Primary Care-Prescribing             | 60.4               | 60.2            | 0.1                             | 60.2               | 2.3  | 0.9          | 0.0                                   | 0.0                                  | 0.0                        | (3.2)        | 60.2             | (0.0)                          | (0.0%)     |
| Primary Care-Other                   | 13.0               | 12.4            | (2.6)                           | 9.8                | 0.3  | 0.1          | 0.0                                   | 0.0                                  | 1.4                        | (0.5)        | 11.1             | 1.3                            | 13.2%      |
| Other Programme                      | 18.6               | 23.5            | (8.3)                           | 15.2               | 0.2  | 0.0          | 0.2                                   | 0.4                                  | 7.1                        | (0.2)        | 22.9             | 7.7                            | 50.3%      |
| Primary Care Delegated Commissioning | 55.2               | 55.8            | (0.3)                           | 55.4               | 0.9  | 0.8          | 1.5                                   | (0.1)                                | 0.0                        | 0.0          | 58.4             | 3.0                            | 5.3%       |
| <b>Total Programme Costs</b>         | <b>542.0</b>       | <b>544.8</b>    | <b>(20.2)</b>                   | <b>524.7</b>       | <b>19.7</b>                                  | <b>7.5</b>   | <b>4.8</b>                            | <b>8.4</b>                           | <b>15.7</b>                | <b>(9.0)</b> | <b>571.8</b>     |                                |            |
| Running Costs                        | 8.0                | 8.0             | (0.2)                           | 7.8                | 0.0  | 0.0          | 0.0                                   | 1.5                                  | 0.0                        | (0.8)        | 8.5              | 0.7                            | 9.2%       |
| Contingency                          | 2.8                | 0.0             | 0.0                             | 0.0                | 0.0  | 0.0          | 0.0                                   | 2.9                                  | 0.0                        |              | 2.9              | 2.9                            |            |
| <b>Total Expenditure</b>             | <b>552.8</b>       | <b>552.8</b>    | <b>(20.3)</b>                   | <b>532.4</b>       | <b>19.7</b>                                  | <b>7.5</b>   | <b>4.8</b>                            | <b>12.8</b>                          | <b>15.7</b>                | <b>(9.7)</b> | <b>583.3</b>     |                                |            |
| <b>'In Year' Surplus/ (Deficit)</b>  | <b>3.0</b>         | <b>3.0</b>      |                                 |                    |  |              |                                       |                                      |                            |              | <b>0.0</b>       |                                |            |